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“Whenever we have potential litigation issues, the first and only person I call is Shawn. I trust Shawn and his judgment, and as a client confidently rely on him.”

Tripp Wommack, President and Chief Executive Officer, Sagebrush Oil & Gas

“If you want a brilliant, world-class trial team led by the best, hire Shawn.”

Jessica Cicali, General Counsel, Cardone Industries

My Perspective

I focus on all types of heavyweight litigation for plaintiffs and defendants and have secured \$450 million in settlements in a False Claims Act case, obtained the voluntary dismissal of a \$300 million fraud case, and won multiple \$30+ million trial and arbitration awards. I bring a can-do attitude and a work ethic that never quits. My clients describe me as “a passionate advocate,” “a skilled tactician,” and “meticulous” in my preparation.

I’ve been fortunate enough to catch the attention of others:

- *Lawdragon, Inc.*, included me on its 2024 Lawdragon 500 Leading Lawyers of America list, its 2024 Lawdragon 500 Leading Litigators in America list, and its 2024 Lawdragon 500 Leading Plaintiff Financial Lawyers list. These repeat recognitions come on the heels of *Lawdragon, Inc.* featuring me in a December 2018 article titled “Lawyer Limelight: Shawn Raymond,” and in December of 2017 as part of its article titled “Don’t Mess with Texas: How Susman Godfrey Became America’s Leading Trial Firm.”
- In 2024, *Chambers USA* ranked me for a fourth consecutive year for Commercial Litigation in Houston. Its profiles have included these quotes: “Shawn is a fantastic lawyer,” “He’s a

great trial lawyer,” “Shawn has a calming effect when dealing with high-pressure situations,” and “If you want to hire the best and most exceptional trial team I have ever met, hire Shawn and his team.”

- I've been included in *The Best Lawyers in America*® every year since 2012, and I've been named “Lawyer of the Year” for Litigation – Antitrust in Houston for 2015, 2018, and 2023 and “Lawyer of the Year” for Bet-the-Company Litigation in Houston for 2023.
- In 2023, I was selected to the *Texas Super Lawyers* list for the tenth consecutive year. That follows eight consecutive years of recognition by *Texas Monthly* as a “Texas Rising Star,” including a 2008 cover story titled “How One Man Is Changing the U.S.” *Super Lawyers* and *Rising Stars* are published by *Law & Politics Magazine* (Thomson Reuters). *H-Texas Magazine* had named me a “Top Professional on the Fast Track” five years running (2004-08).
- Since 2014, I have been featured in either *Benchmark Plaintiffs: The Definitive Guide to America's Leading Plaintiff Firms and Attorneys* or in *Benchmark Litigation* as Litigation Star or Future Star.
- *Acquisition International* named me the Leading Fraud Litigator of the Year for 2023.
- I have been ranked as one of the top 100 Lawyers in the Houston Region on the 2020 *Texas Super Lawyers* list, which is published by *Law & Politics Magazine* (Thomson Reuters). This was the third time I have been included on this annual list.
- I was awarded the Outstanding Antitrust Litigation Achievement in Private Practice at the American Antitrust Institute's 2018 Antitrust Enforcement Awards.
- In 2013, I was elected as President of the Federal Bar Association's Southern District of Texas Chapter, which serves federal practitioners and the federal judiciary in all seven divisions of the Southern District of Texas.
- In 2011, *The Houston Business Journal* named me to its “40 Under 40” list for being among Houston's next 40 leaders who excel in their industry, are respected business leaders, and show dynamic leadership in their community.
- In 2009 I received the Woodrow B. Seals Outstanding Young Lawyer Award. Named in honor of a well-known federal judge, this award is given annually to one Houston attorney under the age of 38 who best represents professional excellence and service to clients, the community and the Bar.

I also am humbled that recognition has come from outside the courthouse:

The Mayor of Houston proclaimed a “Shawn Raymond Day” in recognition of my decade-long service to the Houston Area Women's Center's mission of helping individuals affected by domestic and sexual violence.

The Houston Astros honored me with the title “Hometown Hero” and a ceremonial first pitch. Given to one Houston-area citizen each month during the Major League Baseball season, this award recognizes those who have “gone over and beyond and performed extraordinary acts within their communities.” And about that ceremonial first pitch? An iffy strike.

The University of Texas School of Law named me the 2019 Distinguished Alumnus for Community Service.

The ADL Southwest presented me with the 2024 Karen H. Susman Jurisprudence Award, which is given to “an outstanding member of the Houston legal community who exhibits a commitment to equality, justice, fairness, and community services.”

Values

“Shawn exhibits all the traits you would expect from a partner in one of the nation’s leading litigation boutique firms — zealous advocate, strategic thinker, charismatic and quick on his feet.”

Douglas L. Lawing, Former Senior Vice President and General Counsel, Copano Energy

“Shawn is the rare combination of strategic, pragmatic, technically strong and personable.... Simply said, Shawn is what good looks like.”

Monica Karuturi, General Counsel, CenterPoint Energy

We do not often talk about our values — those things that guide our lives. Let me share a few of mine.

When I was growing up, my Dad used to say to me, “There are two kinds of people in the world — problem finders and problem solvers. Which kind of person are you going to be?” He taught me never to identify a problem without finding a solution. I think about that when I am deciding whether to take on a new case. Can I solve the problem presented? I take only those cases to which the answer is a solid “yes.”

I got my passion for the law from my Dad, too. When I was in high school, he went bust because he did not have legal counsel. I vowed to become the best advocate I could be for those around me. When I do that today — whether it is in the skyscrapers of New York or Houston or in a classroom in Sunflower County, Mississippi — I bring to the fray cutting-edge knowledge and a passion for righting wrongs. That is what makes me feel good, and that is why I get results.

Work Ethic

“I have never seen anyone work as hard as Mr. Raymond did on our behalf . . . His involvement and credibility were both cited by the jury as one of the most effective and positive aspects of our case.”

Alfred J. Brothers, Jr., Chief Executive Officer, Cavalry Investments, LLC

“Shawn is a particularly special kind of lawyer who aggressively manages complex cases while providing business savvy that creates a strong partnership-like relationship with his clients.”

R. Bruce Northcutt, Former CEO, Navitas Midstream Partners and Copano Energy

I'd like to think that my successes in and out of the courtroom are a product of my unyielding commitment to working hard. I was born in Honolulu, Hawaii, and grew up in Golden, Colorado. I attended The George Washington University in Washington, D.C., and graduated magna cum laude from GW's Elliott School of International Affairs. During my senior year, I was elected Phi Beta Kappa and received the Wilbur J. Carr Prize in International Affairs.

Fresh out of GW, I joined the Teach for America program, which placed me in Sunflower County, Mississippi — perhaps best known for Fannie Lou Hamer and B.B. King — where I taught high school special education and social studies and coached the varsity football team to the state playoffs. Later, during law school, I co-founded the Sunflower County Freedom Project, which offers educational and leadership enrichment opportunities to teenagers living in the Mississippi Delta. It was my way of leaving a small legacy to the community that had taught me so much.

After finishing my two-year teaching commitment, I enrolled at the University of Texas School of Law. I was fortunate to be judged a champion of the Thad T. Hutcheson First-Year Moot Court Competition, and in my second year of law school my partner and I won the Gibbs & Bruns Moot Court Competition. In the meantime, the W.K. Kellogg Foundation awarded me a Child Welfare Fellowship, and I spent a summer working at the Mississippi Attorney General's Crimes Against Children Division.

Back at UT, I became Managing Editor of the Texas Law Review. After graduating from law school with honors, I journeyed to Galveston, Texas, where I clerked for a United States District Judge. Following my clerkship, I joined Susman Godfrey in 2000 and was elected partner a short four years later.

Wins

I turn to Shawn and Susman Godfrey because they actually take cases to trial — and win. In my experience, when faced with having to try a case against Susman Godfrey, opposing counsel quickly find a more reasonable position in an effort to avoid trial.

Johnathan Short, Former General Counsel, IntercontinentalExchange, Inc.

"I've never witnessed anything like the woodshedding Shawn performed on that CEO on the stand. I honestly thought the man needed a blood transfusion once Shawn finished with him. It was a cross-examination for the ages."

Gary Martin, Founder and President, R.J. Machine, Inc.

August 2023—A short 108 days after Intercontinental Exchange, Inc. ("ICE") hired Kalpana Srinivasan, Alex Kaplan, and me to take over its defense against the Federal Trade Commission's ("FTC") lawsuit to prevent ICE from closing on its blockbuster \$11+ billion merger transaction with Black Knight, Inc., the FTC dismissed its case and agreed not to pursue any future efforts to block the merger. We assembled an all-star trial team of Susman

Godfrey lawyers—adding Adam Carlis, Abby Noebels, Michael Kelso, Michael Gervais, Ale Salinas, Krisina Zuniga, and Jesse-Justin Cuevas—and evaluated the case, re-focused ICE's litigation strategy, aggressively pushed the case (which involved more than 50 depositions and millions of pages of documents), and got the case ready for trial. We also took over all negotiations with the FTC and positioned the dispute in such a way that the FTC (for what is believed to be the first time ever) dismissed its lawsuit based on a binding term sheet rather than a fully executed consent order. After the FTC's dismissal, ICE and the FTC entered into a consent order based on the term sheet, and ICE successfully closed on its transaction with Black Knight on September 5, 2023. As a little "thank you," Kalpana and I helped ring the closing bell on the New York Stock Exchange on October 24, 2023.

July 2022—Hired less than six months before a preferentially set jury trial in Texas state court to represent a plaintiff in a nearly decade-long theft of trade secrets dispute between two manufacturing competitors, Vineet Bhatia, Laranda Walker, Meg Griffith, and I converted the case to binding arbitration, tried the case, and secured a non-appealable award, which, after the payment of the firm's contingent fee, netted our client \$30,901,482.

February 2022—Vineet Bhatia, Weston O'Black, and I represented CenterPoint Energy in pursuing claims against various gas suppliers in connection with the unprecedented spikes in natural gas costs that occurred as a result of February 2021's Winter Storm Uri. We helped CenterPoint recover for itself and for the benefit of all ratepayers a total net value (after factoring in attorney fees) of more than \$100 million.

December 2021—Erica Harris, Michael Kelso, and I successfully resolved our client TotalEnergies' business interruption claims against Intercontinental Terminals Company in connection with a major chemical storage tank fire that began on March 17, 2019 at its petrochemical terminal located along the Houston Ship Channel. The fire resulted in the release of toxic benzene and other hazardous materials and forced shelter-in-place orders and the evacuation and closure of TotalEnergies' massive polypropylene plant. The case settled for a confidential amount.

November 2021—In a career highlight case, I helped secure a \$626.25 million gross settlement (before calculating attorney fees) on behalf of the people of Flint, Michigan, in connection with the city's water contamination crisis. The case involves complicated facts and novel legal theories, and we are continuing to pursue additional claims against two private engineering companies. I serve on the plaintiff class action leadership team along with Steve Morrissey, Vineet Bhatia, Jordan Connors, Katy Peaslee, and Ben Manne of Susman Godfrey and co-counsel Cohen Milstein Sellers & Toll PLLC and Pitt, McGehee, Palmer, Bonanni, & Rivers, P.C. and others. The trial court called the \$626.25 million settlement "a remarkable achievement" and the portion paid by the State of Michigan "one of the largest settlements in the State's history." In February 2022, *Law360* named Susman Godfrey the Environmental Practice Group of the Year based in part on our work in this litigation. The overall attorney fee amount will not be determined until the settlement claims process closes.

December 2020—*Thomson Reuters Westlaw* named Neal Manne, Joe Grinstein, and me “December’s Pro Bono Heroes” for winning, along with co-counsel Wallace Jefferson and Rachel Ekery, a unanimous order from the Texas Supreme Court requiring the State of Texas to pay nearly \$2 million to our pro bono client, former death row inmate Alfred Dewayne Brown, for his 12 years and 62 days of wrongful imprisonment. In what the *Houston Chronicle* called a “miracle,” we obtained an “actual innocence” finding for Mr. Brown from the same criminal trial court where he originally (and wrongly) had been sentenced to death. When the Texas Comptroller refused to approve Mr. Brown’s application for compensation under Texas law, we filed a writ of mandamus with the Texas Supreme Court and persuaded the Court to find that Mr. Brown’s application for compensation “met the high actual-innocence bar” and to order the Comptroller to approve and pay the nearly \$2 million that we argued Mr. Brown deserved.

April 2020—Trey Peacock, Krisina Zuniga, co-counsel Scott Hastings, and I secured a nearly \$40 million judgment for client Repeat Precision LLC – the defendant! – in a case brought by Diamondback Industries, Inc. in federal court in Waco, Texas, that involved a little bit of everything: patent infringement, antitrust, theft of trade secrets, breach of contract, and tortious interference. After a bench trial, the court dismissed with prejudice all of Diamondback’s affirmative claims and awarded \$39,946,902 in damages (not including attorney’s fees and costs) to Repeat Precision for its counterclaims against Diamondback. The court wrote this about my questioning of Diamondback’s CEO at trial: “*Mr. Drury’s credibility, in particular, was shredded on cross-examination.*”

November 2018—Erica Harris, Adam Carlis, and I defeated a nearly \$9 million title benefit arbitration claim brought against our energy client in connection with a purchase and sale agreement for oil and gas properties. The arbitrator not only disallowed in its entirety the other side’s \$8.94 million title benefit claim, but awarded our client \$784,000 in title defect claims.

June 2018—Winning any case in front of the U.S. Supreme Court is a big deal, but securing a 9-0 decision makes victory at the highest court in the land even sweeter. That’s what happened in our Vitamin C price fixing class action case. Justice Ginsburg wrote the unanimous opinion reversing the Second Circuit’s dismissal of the \$162-million judgment that Jim Southwick, co-class counsel, and I secured in March 2013 following a three-week jury trial in federal court in Brooklyn, NY. The case returned to the appellate court, where the Second Circuit once again reserved the district court’s judgment and dismissed the case on foreign sovereign immunity grounds.

November 2017—Gaining zero traction with its insurance carriers in securing insurance coverage to defend itself against a government-led Foreign Corrupt Practices Act investigation and related lawsuits, Cobalt International hired Eric Mayer, Adam Carlis, Scott Fulford, and me to level the playing field. We secured multi-million dollar settlements from two insurance carriers.

March 2017—Geoff Harrison and I settled a \$20-million breach-of-contract lawsuit relating to an expansion of an ethylene and propylene chemical plant. Our petrochemical industry client alleged that the contractor, a global engineering services and construction company, breached the parties’ engineering and procurement contract by failing to meet industry and contractual standards and failing to comply with its warranties. Armed with a detailed certificate of merit

prepared by our professional engineering experts, we also alleged claims for negligence and engineering malpractice based on the contractor's schedule mismanagement, delays, failure to use 3D laser scans, and problematic structural and isometric drawings. The settlement agreement does not allow disclosure of the parties' names or the settlement dollar amount.

March 2017—Vineet Bhatia, Krysta Kauble Pachman, and I won a \$6.3-million arbitration award for our energy client. All three arbitrators – including the other side's appointed arbitrator – went our way on every liability issue. At issue in this breach of contract case: whether our client, a large retail energy provider, had to pay the owner of a wind farm for energy that the wind farm did not generate due to reliability directives by a third party that controlled the transmission lines.

June 2016—On a pro-bono basis, I – along with several others at the firm – represented a refugee resettlement agency that successfully opposed the state of Texas' effort to block Syrian refugees from being placed in Texas. A Texas federal district court judge threw out the suit, ruling that the state of Texas didn't have a basis to enforce a consultation requirement found in the Refugee Act. The state of Texas subsequently dropped its appeal.

November 2015—In what is believed to be the largest settlement ever in U.S. history achieved by a single whistleblower in a False Claims Act case, Bill Carmody, Arun Subramanian, Matthew Berry, Steven Shepard, Elisha Barron, Andres Healy, and I teamed up with Vogel Slade & Goldstein, the U.S. Attorney's Office for the Southern District of New York, and a dozen different state attorneys general to secure a total of \$465 million in settlements from Novartis Pharmaceuticals Corp., Accredo Health Group, and Bioscrip Corp. The case involved claims that Novartis defrauded the Medicare and Medicaid programs by illegally paying kickbacks to pharmacies so that they would recommend to doctors and patients six of Novartis' specialty medications. I took key depositions in this historic case and handled much of the day-to-day case strategy throughout discovery, which involved tens of millions of pages of documents and dozens of depositions. FBI Assistant Director in Charge, Diego Rodriguez, noted that this “settlement with Novartis should serve as a warning to companies who choose to operate their businesses with kickbacks rather than honesty – those companies will pay more in the long run.” The *National Law Journal* named Susman Godfrey the 2016 winner of its “Elite Trial Lawyer” in the category of pharmaceuticals because of this “significant win.”

July 2015—Serving as co-lead counsel, Eric Mayer and I, working with Matthew Behncke, secured a complete summary judgment victory for their clients Quanta Services and Quanta's founder and former CEO and Chairman of the Board, John Colson, in a \$30 million lawsuit filed by Patrick Devaney and his consulting company, Trident Ventures, Inc. Devaney, a former Navy Seal, provided consulting services through Trident for Quanta from 2004 until 2012. I handled all facets of the legal strategy of the case, deposed Devaney and presented Colson and Quanta's current CEO for deposition. Over the course of the litigation, plaintiffs filed seven petitions asserting 18 causes of action against Quanta and Colson, most of which centered on their claim that plaintiffs were entitled to a partnership or joint venture interest worth millions in Quanta's international and government business. Quanta and Colson won summary judgment on all of plaintiffs' claims against them, and plaintiffs were ordered to pay costs.

May 2014—LyondellBasell Industries and its subsidiary Houston Refining LP hired Geoff Harrison, Trey Peacock, and me to conduct an internal investigation into what they ultimately concluded was a \$76+ million kickback scheme perpetrated by a former marine charter manager employee. We compiled substantial evidence establishing that the former employee and certain of his co-conspirators had engaged in tortious and illegal conduct in an international conspiracy involving conduct in Belize, the British Virgin Islands, Greece, Switzerland, the U.S., and Venezuela. They provided information and assistance to the U.S. Attorney's office, which secured plea agreements from multiple participants in the kickback scheme, including multi-year prison sentences and \$139 million in civil forfeiture awards. They also filed civil lawsuits against several national and international defendants, asserted pre-lawsuit civil claims against other potential defendants, and handled associated insurance disputes, which, along with proceeds collected from the government forfeitures, led to the recovery of \$75+ million in cash and other consideration. I was actively involved in all aspects of the litigation, including briefing, discovery, and trial preparation.

March 2013—After a three-week jury trial in federal court in Brooklyn, NY, Jim Southwick and I, along with Boies Schiller and Hausfeld LLP, obtained a historic \$162 million judgment against two Chinese pharmaceutical companies for violating U.S. antitrust laws by fixing the price of Vitamin C exported to the United States. This is the first time a mainland Chinese company has been held liable for civil antitrust violations in a U.S. courthouse. The nine-figure-judgment came on the heels of a \$22.5 million settlement with two other co-conspirators that took place the day before closing arguments. The verdict received coverage in The New York Times and The Wall Street Journal. It even caught the attention of the Ministry of Commerce of the People's Republic of China, which issued a statement suggesting "harm" to U.S. interests if the verdict is not overturned. After the Second Circuit reversed the judgment, the U.S. Supreme Court reversed the Second Circuit's dismissal and sent the case back to the Second Circuit for further consideration. The Second Circuit ultimately reversed the district court's judgment a second time on foreign sovereign immunity grounds.

November 2012—Following a two-and-a-half year battle in a \$300 million case brought by the United States, Vineet Bhatia, Shawn Raymond, Rick Hess and I secured a complete victory for their client, KBR. After claiming that KBR had violated the False Claims Act by billing the Army for costs associated with armed private security contractors in Iraq, the United States gave up by filing a voluntary motion to dismiss – with no strings attached. The federal district court ordered the dismissal of all claims against KBR. I handled much of the day-to-day management of the case.

October 2012—In what may be the first time a Chinese company has settled a U.S. civil price-fixing cartel case, Jim Southwick and I, along with co-counsel from Boies Schiller & Flexner LLP and Hausfeld LLP, secured court approval – without a single objector – for a \$9.5 million settlement with Aland (Jiangsu) Nutraceutical Co. Ltd. in an antitrust price-fixing class action brought on behalf of direct purchasers of Vitamin C against Chinese Vitamin C manufacturers. I took the key deposition of the individual who served as Aland's CEO during the relevant time.

October 2012—Hired by S&P 500 company Quanta Services, Inc. about one month before the Markman Hearing involving Quanta’s key patent known commercially as the LineMaster robotic arm, I took over the prosecution of this patent infringement case, which had been pending for nearly a year. Following my argument, the court issued a ruling that construed all of the terms at issue in favor of Quanta. Shortly thereafter, the defendants stipulated as to infringement, and the case settled.

April 2012—A three-member arbitrator panel issued a unanimous ruling in favor of a large electric utility company represented by Vineet Bhatia, Rob Safi, and me in a multi-million dollar breach of contract claim brought by the subsidiary of one of the world’s largest energy companies. The Panel also ordered the other side to pay nearly \$1 million in attorney fees and expenses. The claims arose from curtailments at a wind farm that were ordered by the regional grid operator. The other side sought millions of dollars in damages for power production losses as well as declaratory relief that would have required my client to pay for future curtailment losses, which likely would have amounted to tens of millions of dollars. Following a four-day arbitration hearing, the entire panel – including the arbitrator picked by the other side – rejected all of the other side’s claims and ordered it to pay every penny in attorney fees and arbitration expenses requested by my client – \$962,071.34 to be exact.

March 2012—After securing the dismissal of Oaktree Capital Management from a multi-million dollar fraud case just as the jury panel was about to enter the courtroom, Trey Peacock and I helped secure for the remaining defendant (the CEO of a Houston-based oil and gas company) a judgment notwithstanding the verdict that the Texas Court of Appeals upheld. The appellate court’s affirmance of the of the trial court’s dismissal was based entirely on evidence I presented to the jury and legal arguments Trey and I made to the court.

July 2011—The board of directors of a Fortune 1000 company asked Vineet Bhatia and me to evaluate the merits of a decade-old \$100+ million executive compensation case. After receiving this advice, the company immediately hired us to help lead the defense of the case, which was going to arbitration within 120 days. Their legal strategy resulted in the case settling favorably for the company prior to the start of the hearing.

May 2010—It’s one thing for a plaintiff to wave the white flag on the courthouse steps; it’s quite another thing for it to happen in the courtroom itself with a jury panel waiting in the hallway. That’s precisely what Trey Peacock and I made happen on May 19, 2010 for its client Oaktree Capital Management, one of the premier private equity firms in the United States.

As 48 potential jurors were about to enter the courtroom for jury selection, Trey and I won a dismissal with prejudice of all claims against Oaktree. The investment banker plaintiff had sought \$7+ million in damages for alleged fraud and breach of fiduciary duty based on the assertion that Oaktree and the CEO of a publicly traded oil and gas company had conspired to cut the plaintiff out of a \$40 million transaction.

In exchange for the dismissal with prejudice, Oaktree paid . . . nothing. Not one penny. And not anything else for that matter. Jim Ford, a Managing Director at Oaktree, called the result a “great outcome” and noted, “We were confident that the jury would find in our favor, but thanks to Trey’s

and Shawn's hard work and legal skills, we never had to find out. We were completely vindicated and able to avoid the cost and uncertainty inherent in any jury trial. We couldn't be happier with their representation."

Immediately after the plaintiff dismissed all claims against Oaktree, the remaining defendant hired Trey and I to help its current lawyers try the case.

April 2009—Following an intense, consolidated discovery period in which I deposed all key adverse witnesses in this \$14 million breach of contract case brought against Consentino USA – the maker of Silestone – by one of Consentino's largest distributors, Trey Peacock and I settled the matter on terms that, while confidential, led Consentino's founder and then President, Roberto Contreras, to comment: "You went above and beyond to secure a positive result. Your preparation was impeccable and you out-lawyered your opponent at every turn."

July 2008—After the trial court certified a Texas-wide class action against The Hertz Corporation in connection with the company's rental car fuel-service charge, Hertz asked Eric Mayer, Bill Merrill, and me to take the lead on the appeal, which we won. The Court of Appeals for the Thirteenth District Court of Texas reversed the trial court's class certification order and decertified the class on all claims. I served as the primary author of Hertz's appellate briefs.

January 2008—After a six-year battle, I secured final payment on settlements totaling more than \$2.86 million for 32 clients who received defective Sulzer acetabular shells during hip replacement surgery – a handful of these clients underwent revision surgery to have their defective hip implant removed. After factoring in litigation costs and legal fees, the clients netted more than \$1.68 million.

August 2007—Steve Susman and I, along with New York co-counsel, won the appeal of a trial court's order granting summary judgment for their client, IntercontinentalExchange, Inc. ("ICE), in a copyright infringement case brought by the New York Mercantile Exchange, Inc. ("NYMEX) in federal court in New York. The Second Circuit Court of Appeals upheld the dismissal of all of NYMEX's claims against ICE.

July 2007—I led the Susman Godfrey trial team, which included Trey Peacock and Joe Grinstein, to secure a court-approved settlement for a group of plaintiffs who brought a collective action under the Fair Labor Standards Act for unpaid overtime. The case involved closing officers and escrow officers who worked for various subsidiaries of Cendant Settlement Services. Nearly 45% of all the individuals who received an opt-in notice elected to participate in the settlement.

March 2007—Ophelia Camiña and I obtained a plaintiff-side verdict in a fraud case brought against three defendants in state district court in Dallas, Texas. The jury found all three defendants liable for fraud or negligent misrepresentation and awarded actual and punitive damages against one of the defendants.

August 2006—On behalf of 4300 royalty and overriding royalty owners across the United States, Tom Paterson and I, along with New Mexico co-counsel, obtained a \$27.5 million settlement with ConocoPhillips for alleged underpayment of royalty on natural gas liquids produced from the San Juan Basin of northwestern New Mexico and processed at the New Blanco Plant near Bloomfield, New Mexico. The district court approved the settlement and awarded attorneys' fees of \$7 million from the settlement fund.

August 2006—Along with Tom Paterson and co-counsel in New Mexico, I represented the New Mexico State Land Office and settled a lawsuit with OXY USA, Inc. and an affiliate for underpayment for royalties allegedly owed to the state for CO2 produced from the Bravo Dome CO2 Unit in northeastern New Mexico. OXY agreed to pay \$11.6 million in cash to settle. In addition, OXY agreed to make changes to its methodology for calculating royalty payment by, among other things, tying the value of CO2 for royalty purposes to the price of oil. The state's economic expert estimated that the value of those changes equaled approximately \$16.6 million, for a total settlement value of approximately \$28.2 million. The court awarded attorney's fees of \$1.4 million from the settlement. In a press release announcing the settlement, Commissioner of Public Lands Pat Lyon said, "This is a great day for New Mexico's school children, as they will benefit from this."

March 2006—In a case involving a missing urn at a Houston mausoleum that garnered local media attention, Neal Manne and I defended Congregation Beth Israel pro bono. Prior to trial, they secured a settlement that did not involve the Temple having to pay even a penny of damages. The Temple agreed to buy back two niches at the mausoleum for market value, and the plaintiffs agreed to give some of the repurchase money back to the Temple as a donation to a program for summer camp scholarships.

September 2005—Serving as lead counsel, Steve Susman and I won summary judgment for their client, IntercontinentalExchange, Inc. ("ICE"), in a copyright infringement case brought by the New York Mercantile Exchange, Inc. ("NYMEX") in federal court in New York. NYMEX claimed that ICE violated its intellectual property rights and sued for copyright infringement, service mark infringement, violation of the federal and New York State Anti-Dilution statutes, and tortious interference with contract. NYMEX sought tens of millions of dollars in damages and an order barring ICE from referencing NYMEX settlement prices. The court granted ICE'S motion for summary judgment and denied NYMEX'S cross motion for summary judgment.

September 2005—Less than a week before the start of a jury trial in Clayton, New Mexico, OXY USA, Inc. agreed to pay \$12 million to settle a class action lawsuit brought by Susman Godfrey's Thomas W. Paterson and me, along with New Mexico co-counsel, on behalf of a class of royalty owners who had leased mineral rights to OXY for the production of CO2 at the Bravo Dome Unit in northeastern New Mexico. The \$12 million settlement, of which \$3.5 million was awarded for attorneys' fees, represented approximately 90% of the total amount of actual damages sought by the class. The settlement also required OXY to pay litigation expenses of \$400,000 and settlement administration expenses of \$200,000. Finally, OXY has agreed to change how it calculates plaintiffs' royalty on a going-forward basis. This change will result in a near

doubling of the royalty amounts OXY was paying the class members before the filing of this lawsuit in 2004.

June 2004—I settled a RICO suit brought on behalf of my client, Equistar Chemical Company. The settlement amount equaled more than 100% of the damages Equistar suffered as a result of a scheme to steal stainless steel fittings and valves from the company's La Porte, Texas, warehouse.

February 2004—Bill Carmody and I secured a favorable settlement for a man whose left leg was nearly crushed when an 18-wheeler barreled on top of the car he was driving.

August 2003—Barry Barnett, Geoff Harrison, and I settled a \$120 million-plus breach of contract claim against Lucent Technologies, Inc. ten months after convincing the Fifth Circuit Court of Appeals to overturn the trial judge's order dismissing the claims based on the pleadings. I handled much of the day-to-day discovery and mock tried the case for the client. The case settled shortly thereafter for a confidential amount.

July 2003—Steve Susman and I won a \$30+ million jury verdict for Cavalry Investments L.L.C. against two Bank of America subsidiaries that breached their contract with Cavalry to sell a portfolio of subprime automobile loans. After a six-day trial, the jury found for Cavalry on every issue. The National Law Journal ranked this as the 36th largest verdict in the United States for 2003. The Texas Lawyer listed it as the 6th largest verdict in Texas for 2003.

February 2003—Michael Lee, Carolyn Courville, and I settled a \$5 million breach of contract and fraud case against one of world's largest finance companies for a confidential amount.

September 2002—Ophelia Camina and I represented Minnesota-based Famous Dave's Barbeque company in a temporary injunction hearing against Colter's, then the largest barbeque chain in North Texas. Colter's had obtained a temporary restraining order that prevented Famous Dave's from opening two of its restaurants. After expedited discovery and a four-day mini-trial, Ms. Camina and I persuaded the judge to deny Colter's request for an injunction.

April 2002 —In an arbitration that Neal Manne, Harry Susman, and I tried, we won a \$3 million arbitral award for three senior executives against Cambrian Capital Corp., a mezzanine finance venture owned by Mirubeni Corp., Dominion Capital, and Jefferies & Co. After a one-week trial, the executives won on their claim that Cambrian had breached an equity participation agreement. The arbitrator rejected all of Cambrian's counterclaims.

What Other People Say

I first worked with Shawn while serving as CEO of Copano Energy – and I quickly decided only to use him and Susman Godfrey for all of our significant litigation matters. After Copano announced its pending sale to Kinder Morgan, several entities sued so as to try to extract a ransom and hold up the sale process. Shawn moved quickly to prepare me and our entire

senior management to aggressively defend the cases – and it worked because the lawsuits were dismissed in a matter of days.

Five years later, my new company, Navitas Midstream Partners, was sued by two aggressive plaintiff firms representing persons injured in a pipeline rupture. As CEO, I immediately reached out to Shawn knowing he and his firm could provide us with the best defense both legally and strategically. The case involved complex facts, multiple parties, a host of insurance carrier disputes, and sky-high settlement demands that if paid could have put the company out of business. Shawn “quarterbacked” our entire defense, providing key strategies on all aspects of the cases, preparing our key management team for deposition, and even playing the plaintiffs’ counsel in a multi-day mock trial – truth be told I didn’t like Shawn too much while watching his opening and closing arguments during those sessions, but later came to appreciate how persuasive he was arguing for the other side. Perhaps most importantly, Shawn designed and executed a game plan to settle these lawsuits. His approach also kept a tight rein on attorneys’ fees as we worked towards settlement.

Shawn’s recommendation about the order in which to settle the cases proved instrumental in reducing the combined settlement outcome. He took a results-oriented, business-like approach with our board and executive team throughout the entire litigation process, and he was always available to counsel me at every twist and turn. Susman Godfrey is excellent at what it does, but Shawn is a particularly special kind of lawyer who aggressively manages complex cases while providing business savvy that creates a strong partnership-like relationship with his clients. I’ve gone to Shawn before when my company couldn’t afford to make the wrong choice on trial counsel – and I’d do it again.

R. Bruce Northcutt, Former CEO, Navitas Midstream Partners and Copano Energy

Shawn and Susman Godfrey have been our go-to litigation counsel for all of our most significant, high-dollar and strategically important matters since before IntercontinentalExchange (ICE) became a publicly traded company in 2005.

I turn to Shawn and Susman Godfrey because they actually take cases to trial — and win. In my experience, when faced with having to try a case against Susman Godfrey, opposing counsel quickly find a more reasonable position in an effort to avoid trial.

I also value their versatility. Across both our defense and plaintiff work, Shawn and the Susman Godfrey team have achieved the same effective, efficient results.

Johnathan Short, Former General Counsel, IntercontinentalExchange, Inc.

Shawn – I want to thank you for your excellent representation of our company, Capital Title of Texas, in a very important legal matter recently. Facing a large Fortune 500 competitor, we frankly needed the best representation possible. Despite their impressive out-of-state legal team, I think that your firm and you were more than enough lawyer for them. Our successful conclusion of this matter was a result of your prowess as an effective litigator, hard work and

nationwide reputation of Susman Godfrey as an excellent litigation firm. Thanks again for your work on our behalf.

Bill Shaddock, Owner & CEO, Capital Title

Shawn tries to connect with his client on a personal and professional basis. He has passion and conviction around winning his client's case and he goes the distance on fact finding on every possible angle in his case.

Jim O'Neil, Former CEO, Quanta Energy

Dear Vineet, Shawn & Krysta — On behalf of the team at Kansas City Power & Light Company, I want to express our appreciation for the way your team handled the arbitration for KCP&L. You presented a very practical and honest perspective on the strengths and weaknesses of our case. The weekly status calls were a great way to keep the KCP&L team stay on top of deliverables and track the evolution of the arbitration. Your team dug in to understand the very technical nature of our dispute and put forth our best case. You also took the time to help us find an expert whose testimony became a crucial part of our case.

The talents and experience of the Susman team was apparent during the arbitration hearing. Despite some snarky comments and behavior from the other side, your team remained professional and courteous to all involved. This made an impression on us and the panel. It was a pleasure working with the Susman team.

***Denise M. Buffington, Director, Federal Regulatory Affairs and Corporate Counsel
Kansas City Power & Light Company***

In 2009 our business was “blindsided” with an unexpected lawsuit. We were caught completely off guard and really had no idea which way to turn. Fortunately, we were able to meet and present our situation to Shawn Raymond and Erica Harris — they truly worked as a “dynamic duo” on our behalf. They engaged the suing parties head on and in good faith. In less than sixty days the lawsuit was unconditionally dismissed and never went to trial!

Words cannot express the respect and gratitude I have for both of these brilliant lawyers. They routinely worked well into the night to bring resolve to this matter. If you want the best, I recommend Shawn and Erica.

Rodney Stephenson, President, Trinity Retail Construction

Nothing makes me feel better than making the best of a bad situation.

In my dealing with Shawn Raymond, that is exactly what happened. I found myself in a bad situation (a lawsuit). Shawn and his team immediately and enthusiastically pursued all possible courses of action. Just the sincerity, the efficiency, the understanding, and the tolerance on the team helped me feel much better.

After months of hard effort, Shawn and his team fulfilled my dream of beating the other side, and having the lawsuit dismissed – truly making the very best of a bad situation!

I would highly recommend Shawn Raymond to help solve any legal problems you may find yourself in. I know that in the future, if I find myself in another bad situation, I will call on Shawn immediately.

John Colson, Founder and Retired CEO and Executive Chairman, Quanta Services, Inc.

Shawn Raymond, my lawyer for close to one year in conjunction with an employment arbitration, is a passionate advocate, a skilled tactician, and a person whose commitment to his client is enriched by his knowledge, sensitivity, and interest in the world swirling around him. . . .

While I have spent countless hours with lawyers in the course of my twenty-six year plus career in petroleum finance here in Houston, being placed in the position of having to hire a lawyer to enforce a personal bargain, and to defend oneself against the inevitable barrage of tactical counterclaims from a large corporate adversary, was daunting indeed. Shawn was meticulous in his preparation, and zealous in his efforts to ready me for depositions and trial, and worked hand in glove with all three of us to pull together all the facts to try the case.

Shawn was a steadying and calming influence. He infused all three of us with confidence that our case was rock solid and no stone had been left unturned. He was genuinely interested in us as people, and I felt he had real empathy for us as we battled through a grueling and intimidating process.

Brian B. Hughes, Executive Director, Macquarie Bank Limited Houston

My consulting company had a major client who decided not to pay a hefty fee due under the terms of our contract, but who nonetheless claimed right and title to use—and was using—my company’s intellectual property. When I complained, the client CEO said: “You want to litigate? I’ve got over \$400 million in sales. How much you got?” I told him I had Susman Godfrey – and Shawn Raymond. Shawn thoroughly investigated the factual and legal issues surrounding this dispute and wrote a hard-hitting letter that Susman Godfrey sent to the CEO. As a result of Shawn’s involvement, my company got paid every single penny it was owed—without litigation. I’ve turned to Shawn in subsequent disputes because I know I can trust his opinion. “*Shawn est un véritable avocat.*”

Gilbert Leistner, Chief Executive Officer, The Leistner Group LLC

Not surprisingly, Shawn exhibits all the traits you would expect from a partner in one of the nation’s leading litigation boutique firms—zealous advocate, strategic thinker, charismatic and quick on his feet. But in my experience, what sets Shawn apart is his tireless “hands on” approach and his empathetic nature. Shawn dives into the minutiae of every case and is involved in its every aspect, no matter how menial the task.

This dedication provides my company, as the client, with assurance that all relevant information has been thoroughly considered by the lead attorney and of the most effect representation possible. Also, many say that all great trial lawyers demonstrate an identification with humankind, that they have an empathetic talent that enables them to communicate and relate to a client, a witness, or a jury.

I have been continually impressed with the respect that Shawn shows everyone on my team and his ability to understand not just the litigation but also the context of the litigation in the overall business. As this and his dedication to public service exemplifies, I believe Shawn possesses an ability to empathize that not only serves the public but also makes him an exceptional attorney.

Douglas L. Lawing, Former Senior Vice President and General Counsel, Copano Energy

I have started and operate a number companies. I work with many lawyers, have very many lawyers in my family and indeed my wife and I have law degrees Whenever we have potential litigation issues the first and only person I call is Shawn. I trust Shawn and his judgment and as a client confidently rely on him.

Tripp Wommack, President and Chief Executive Officer, Sagebrush Oil & Gas

The trial lasted approximately two weeks during which time I spent nearly 12 hours a day with Mr. Raymond and his colleagues. It was during this period that I got to know Mr. Raymond and had the opportunity to see him in action. . . . He was very well prepared and his preparation made a huge difference in the outcome of the case.

His insights into the trial process and tips for handling cross-examination were very valuable. During trial, Mr. Raymond presented the opening argument and handled a number of key witnesses including the experts that testified. He was very effective. Over the two week period, I have never seen anyone work as hard as Mr. Raymond did on our behalf. Throughout the entire trial, Mr. Raymond was constantly aware of everything that was going on

His involvement and credibility were both cited by the jury as one of the most effective and positive aspects of our case. He developed an excellent relationship with the judge. I believe that without Mr. Raymond's involvement we would not have been successful in this litigation. The jury ruled in favor of Cavalry on all issues of fact. In addition to the actual damages sought, the jury also awarded punitive damages to Cavalry of nearly \$45 million.

Alfred J. Brothers, Jr., Chief Executive Officer, Cavalry Investments, LLC

Shawn, it was great working with you and the rest of the Susman team. Given the financial implications of an adverse ruling, the outcome was important to Westar. Throughout the case you were extremely professional, and our "Gang of Six" who testified each came away very impressed with your preparation and presentation of Westar's positions. I was, too.

Everybody felt comfortable with giving their direct and cross testimony (and more than a few were a little disappointed that the other side failed to identify many of your "tough crossquestions"). You received rave reviews and several of our witnesses have reached out to both our General Counsel and me wanting to know "where the heck did we find those guys." Too bad they didn't get to sit through the whole thing – it was definitely an impressive showing.

What I saw you do to the other side's damages witness was epic. You absolutely gutted the guy and left the other side with no viable damages model. It was a thing of beauty. That cross, along with your handling of every other aspect of the case, helps explain why we obtained a full victory! Thank you. And I look forward to working with you again.

Lance Miller, Senior Corporate Counsel, Westar Energy, Inc.

I could not have found a better attorney to represent me when I was blindsided with a claim by a venture capital group....

Shawn is absolutely on top of his game and a jewel for Susman Godfrey. Shawn was always available and well educated about the next steps.

Full of confidence and energy. I fully trust his skills to litigate and glad that he represented me.

Shailesh Patel, CEO and Owner, River Oaks MRI & Diagnostic

Shawn is the first person I would call if I had a case I could not afford to lose. He has the highest ethical standards of any attorney I know, and he is able to avoid the histrionics that add cost and delay to modern litigation. On top of that, his results are consistently exceptional.

Aaron Morris, Associate General Counsel, Sunnova Energy Corporation

Shawn is an outstanding trial lawyer and advocate, possessing every trait I want in an advisor – smart, responsive, unflappable, practical and solution-oriented. He maintains perspective and is collaborative when possible, but aggressive when necessary. We've relied on Shawn over the years and he has consistently delivered terrific results. I'm always grateful to have him in our corner.

Martin Boskovich, Managing Director-Legal, Oaktree Capital Management, L.P.

Shawn continuously demonstrates his commitment to creating the best outcome for his clients. His attention to detail, work ethic, and honesty are unsurpassed in the legal field. Equally important, he has a unique ability to break down complicated matters into clear, concise, and direct explanations, leaving you confident that you understand the intricacies of your legal matters. I have complete trust in Shawn for my legal advice.

Michael MacGregor, CEO, IncubEx

Shawn you are my go-to lawyer for all things litigation. Your strategic, tactical, and logistical approach to handling legal cases impresses me every time. Having you on my team when litigation arises allows me to focus on my business. I can't thank you enough, Shawn, for your support and guidance when things get litigious."

Eddie Hebert, CEO, Tilden Resources

Shawn Raymond is a trial gunslinger who doesn't miss when he shoots. And I appreciate that he prefers not to charge by the bullet!

I've had a front-row seat to watch Shawn in action in the courtroom. He helped convert a case in which the other side demanded more than \$100 million into a \$40 million judgment for us. And we were the defendant!

That incredible trial result occurred in no small part because Shawn kicked the living snot out of the other side's chief witness. I've never witnessed anything like the woodshedding Shawn performed on that CEO on the stand. I honestly thought the man needed a blood transfusion once Shawn finished with him. It was a cross-examination for the ages.

So don't let Shawn's nice-guy demeanor fool you. He'll take on anyone, anywhere, at any time – and he plays to win. He's got great instincts, is extremely well prepared, and is as smart as they come. To top it off, he works his ass off. When the bell rings, Shawn is the trial lawyer coming out of my corner each and every time.

Gary Martin, Founder and President, R.J. Machine, Inc.

Shawn is an exceptional lawyer who will continue to be at the top of my list. He is the rare combination of strategic, pragmatic, technically strong and personable. He is always thoughtful in bringing his client along and advocating for his client's interests with the highest degree of integrity. He understands the importance of working with in-house counsel as part of a seamless team. Simply said, Shawn is what good looks like.

Monica Karuturi, General Counsel, CenterPoint Energy

If you want a brilliant, world-class trial team led by the best, hire Shawn. Shawn is not only among the most experienced, skilled, and knowledgeable trial lawyers around, but he has a unique knack for understanding and persuading people. His skills at telling the story – including explaining the law and its application to the facts for his clients – are unparalleled. He is earnest, smart, approachable, responsive, an excellent communicator, and quick to engender trust, which results in his listeners consistently tuning in to his every word. Lightbulb moment after lightbulb moment, he effectively moves his listeners to agree with his conclusions. Not only is Shawn an excellent teacher, but he's receptive to feedback and input from others. Displaying humility and a staunch commitment to discovering the truth, he is willing to rethink and re-conclude when presented with new information, and he is quick to absorb and leverage key information and details that other trial lawyers may overlook to give his clients an edge in the courtroom. I always say that the best lawyers know that their work is not so much a science, but a form of art, and it was an honor to watch a truly gifted artist perform his craft on our behalf.

Jessica Cicali, General Counsel, Cardone Industries

Shawn has great judgment, and his advice is always right on the money. Even if you are dead certain on something, Shawn will help you see the risk of your position. His practicality and level-headed approach to problem solving stood out from day one.

William Byers, CFO, Manchester Energy, LLC

Public Service & Professional Associations

“I think we do well to remember that there is nothing inevitable about the creation of the Sunflower County Freedom Project or the U.S. Public Service Academy. Those exist because Shawn was — and continues to be — determined to make them be.”

William Powers, Jr., Former President, The University of Texas at Austin

- Co-Founder, Sunflower County Freedom Project
- Co-Founder, Freedom Project Network
- Executive Board Member, KIPP: Texas Public Schools
- Former Executive Board Member, KIPP: Houston Public Schools
- Co-Founder, U.S. Public Service Academy
- Past Board Chair, Houston Area Women’s Center
- Past President, The Federal Bar Association, Southern District of Texas Chapter
- Former Member, Steve Francis Foundation, College Scholarship Selection Committee
- Former Editor, *The Advocate*, State Bar of Texas Litigation Section Report
- Former member, Houston Independent School District Superintendent’s Public Engagement Committee
- American Bar Association | Houston Bar Association | Federal Bar Association
State Bar of Texas | State Bar of Arkansas

Education

The George Washington University (B.A., magna cum laude, 1994)

The University of Texas School of Law (J.D., with honors, 1999)

Judicial Clerkship

United States District Court for the Southern District of Texas, Galveston Division

Articles and Speeches

Where Are the Reasonable Efforts to Enforce the Reasonable Efforts Requirement?: Monitoring State Compliance Under the Adoption Assistance and Child Welfare Act of 1980, The Texas Law Review, Volume 77, April 1999.

Tackling E-Discovery on a Budget, The Advocate, State Bar Litigation Section Report, Volume 51, Summer 2010.

Shawn Raymond is “that rare attorney who is as noted for his goodness as for his courtroom prowess.”

Lawdragon, Inc

Shawn L. Raymond

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